

ARTICLE

Phase Transition Behaviour of VO₂ NanorodsYing Luo^a, Ming Li^a, Guang-hai Li^{a,b*}

a. Key Laboratory of Materials Physics, Anhui Key Laboratory of Nanomaterials and Nanotechnology, Institute of Solid State Physics, Chinese Academy of Sciences, Hefei 230031, China

b. University of Science and Technology of China, Hefei 230026, China

(Dated: Received on April 24, 2014; Accepted on May 15, 2013)

The metal-insulator transition (MIT) of VO₂(M) nanorods was studied. It was found that there were two MITs in the differential scanning calorimetry (DSC) curves of the VO₂(M) nanorods, one situated at low temperature from $-3\text{ }^{\circ}\text{C}$ to $19\text{ }^{\circ}\text{C}$ and the other was at high temperature of $65\text{--}74\text{ }^{\circ}\text{C}$. The low temperature MIT was always accompanied with VO₂(B) nanorods, and the high temperature MIT existed singly only in pure VO₂(M) nanorods. The mechanisms of these two MITs were analyzed and discussed.

Key words: Vanadium dioxide, Nanorod, Metal-insulator transition

I. INTRODUCTION

Vanadium dioxide has been regarded as a typical example possessing metal-insulator phase transition (MIT, VO₂(M) \leftrightarrow VO₂(R)). The MIT is fully reversible and can lead to a dramatic change in electrical and optical properties. VO₂(M) is thus regarded as an attractive candidate for a variety of technological applications such as smart thermochromic windows [1], electrical and infrared light switching devices [2, 3], storage media [4] to temperature-sensing devices [5–7].

A number of approaches have been reported for the preparation of VO₂(M) nanomaterials, such as reduction-hydrolysis [8], evaporative decomposition synthesis [9], thermolysis method [10–12], and hydrothermal synthesis [13–17]. Hydrothermal synthesis is a commonly used method to prepare VO₂(M) nanomaterials, and it has been found that if the hydrothermal reaction temperature is not high enough and/or the reaction time is not long enough, one of metastable phases of vanadium dioxides, VO₂(B) instead of VO₂(M) phase will be obtained. In generally, subsequently annealing treatment is needed to obtain VO₂(M) phase.

Many factors are known to affect the MIT of VO₂(M), such as microstructure, defects, particle sizes, grain boundaries and/or interfaces [18–23]. In this work, we report the phase transition of VO₂(M) nanorods. We find that there are two MITs in the DSC curves in the mixed phase of VO₂(B) and VO₂(M) and, one is at low temperature from $-3\text{ }^{\circ}\text{C}$ to $19\text{ }^{\circ}\text{C}$ and the other is at high temperature within $65\text{--}74\text{ }^{\circ}\text{C}$.

II. EXPERIMENTS

VO₂(B) nanorods were synthesized by hydrothermal method. For a typical procedure, 3 g V₂O₅ and 1.82 g oxalic acid (H₂C₂O₄·2H₂O) were mixed in 50 mL deionized water with stirring at $60\text{ }^{\circ}\text{C}$ in the oil bath for 12 h, and then the mixture was transferred into an autoclave (60 mL) followed by hydrothermal treatment at $220\text{ }^{\circ}\text{C}$ for 48 h. The black precipitate was collected after cooling naturally to room temperature, washed three times using deionized water and alcohol alternatively, and then dried at $80\text{ }^{\circ}\text{C}$ for 12 h. The as-prepared samples were then annealed at $350\text{--}550\text{ }^{\circ}\text{C}$ for different time in a nitrogen atmosphere.

The microstructure of the samples was determined by X-ray diffraction (XRD, Philips X'Pert Pro MPD and Cu K α radiation at 1.54056 \AA) and field-emission scanning electron microscopy (FESEM, Sirion 200 operating with an accelerating voltage of 10 kV). The phase transition behavior was analyzed by differential scanning calorimetry (DSC, Netzsch DSC-4000) at a temperature ramp rate of $5\text{ }^{\circ}\text{C}/\text{min}$ within the $-15\text{ }^{\circ}\text{C}$ to $+100\text{ }^{\circ}\text{C}$ range in a flowing nitrogen atmosphere.

III. RESULTS AND DISCUSSION

Figure 1 shows the XRD patterns of the as-prepared and annealed samples. The strong diffraction peaks of the as-prepared sample (Fig.1(a)) can be readily indexed to monoclinic VO₂(B) phase (JCPDS No.01-81-2392), and no peaks related to other vanadium dioxides were observed, indicating the high purity of the VO₂(B) phase. When annealed at $350\text{ }^{\circ}\text{C}$ (Fig.1(b)), the diffraction peaks still belong to VO₂(B) phase, and the XRD peaks related to the VO₂(M) phase are invisible. When annealed at $400\text{ }^{\circ}\text{C}$, a weak peak belonging to the VO₂(M) phase at $2\theta=27.9^{\circ}$ appears (Fig.1(c)).

* Author to whom correspondence should be addressed. E-mail: ghli@issp.ac.cn

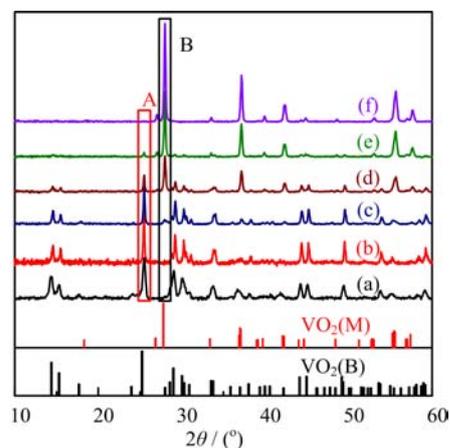


FIG. 1 XRD patterns of as-prepared (a) and annealed samples at (b) 350 °C, (c) 400 °C, (d) 450 °C, (e) 500 °C, and (f) 550 °C for 1 h.

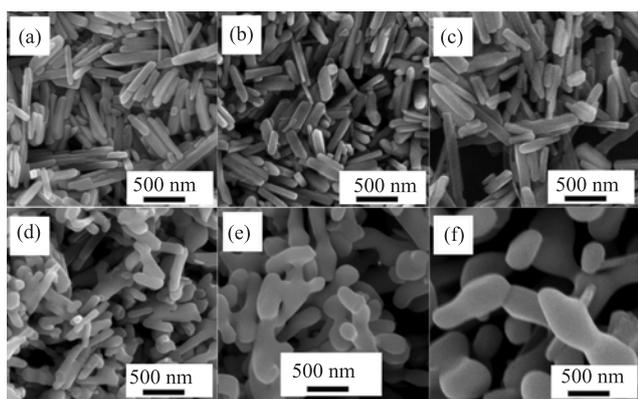


FIG. 2 SEM images of as prepared (a) and annealed samples at (b) 350 °C, (c) 400 °C, (d) 450 °C, (e) 500 °C, and (f) 550 °C for 1 h.

With increasing annealing temperature the number of diffraction peaks and peak intensities belonging to the $\text{VO}_2(\text{M})$ phase gradually increase while that belonging to $\text{VO}_2(\text{B})$ gradually decrease, indicating an increased content of $\text{VO}_2(\text{M})$ phase in the annealed samples (Fig.1 (d) and (e)). Finally as the annealing temperature is increased to 550 °C, the characteristic peaks belonging to $\text{VO}_2(\text{B})$ phase disappear, and all the diffraction peaks can be indexed to monoclinic $\text{VO}_2(\text{M})$ (JCPDS card No.03-65-2358), as shown in Fig.1(f). The rectangle areas marked A and B of Fig.1 indicate that the $\text{VO}_2(\text{B})$ has completely transformed to $\text{VO}_2(\text{M})$ phase.

Figure 2 shows SEM images of the as-prepared and annealed samples. One can see that the as-prepared $\text{VO}_2(\text{B})$ has a rodlike shape with lengths up to several hundred nanometers and typically 40–90 nm in width, as shown in Fig.2(a). It is worth noting that the $\text{VO}_2(\text{B})$ nanorods have a uniform thickness and sharp tips. When annealed at 550 °C, $\text{VO}_2(\text{B})$ nanorods completely transform to $\text{VO}_2(\text{M})$ nanorods with uneven in

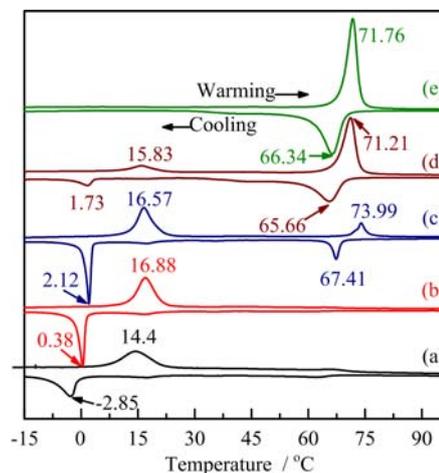


FIG. 3 DSC curves annealed samples at temperature of (a) 350 °C, (b) 400 °C, (c) 450 °C, (d) 500 °C and (e) 550 °C for 1 h.

thickness and round tips, as shown in Fig.2(f). The sample annealed at 350 °C has the same morphology as the as-prepared sample, indicating that it is still a $\text{VO}_2(\text{B})$ phase, as shown in Fig.2(b). From Fig.2 (c)–(e) one can see that the content of the nanorods with an uneven thickness increases with increasing annealing temperature, indicating more $\text{VO}_2(\text{B})$ nanorods have transformed to $\text{VO}_2(\text{M})$ nanorods, which is in accordance with the above XRD results.

Figure 3 shows the DSC curves of the samples annealed at different temperatures. One can see that there is only one pair of endothermic and exothermic peaks situated at low temperature when annealed at 350 °C, and the exothermic peak is at about -2.85 °C and the endothermic is at 14.4 °C (Fig.3(a)). With increasing annealing temperature to 400 °C, there is still one pair of endothermic and exothermic peaks with slightly increased peak temperature, as shown in Fig.3(b). As annealing temperature is increased to 450 °C, there are two pairs of endothermic and exothermic peaks, one is situated at low temperature, and the other is at high temperature. The peak temperature of the endothermic and exothermic peaks at high temperature is at 73.99 and 64.41 °C, respectively, as shown in Fig.3(c), which is close to the value for bulk $\text{VO}_2(\text{M})$. At annealing temperature of 500 °C, there are still two pairs of endothermic and exothermic peaks, and the endothermic and exothermic peaks at low temperature become much weaker while that at high temperature becomes stronger, as shown in Fig.3(d). At annealing temperature of 550 °C, only one pair of endothermic and exothermic peaks situated at high temperature can be observed, Fig.3(e). The endothermic and exothermic peaks intensities at low temperature firstly slightly increase and then decrease with increasing annealing temperature and finally drop to zero at annealing temperature of 550 °C, while that at high temper-

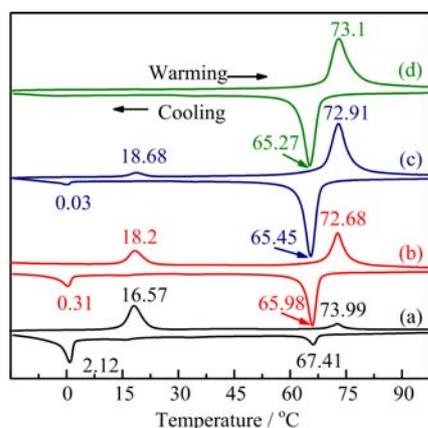


FIG. 4 DSC curves of annealed samples at temperature of 450 °C for (a) 1 h, (b) 2 h, (c) 4 h, and (d) 6 h.

ature always increases with increasing annealing temperature. It is also found that with further increasing annealing temperature to over 550 °C, there is still one pair of endothermic and exothermic peaks situated at high temperature. Combined with XRD analysis, one can see that the endothermic and exothermic peaks at low temperature is always accompanied with VO₂(B) nanorods even without the formation of the VO₂(M) phase (Fig.3(a)), while the endothermic and exothermic peaks at high temperature appear with the formation of the VO₂(M) phase (Fig.3(c)). There is only one pair endothermic and exothermic peaks for pure VO₂(M) nanorods, as shown in Fig.3(e). The same phenomena can also be observed with increasing annealing time, as shown in Fig.4, in which the endothermic and exothermic peaks intensities at low temperature decrease and finally drop to zero with increasing annealing time, and that at high temperature continuously increase with increasing annealing time. From these results, we can conclude that these two pairs of endothermic and exothermic peaks are original from the reversible MIT, and that at high temperature is caused by the VO₂(M) nanorods with a relative large size, while that at low temperature is considered due to the small VO₂(M) crystals closely related to VO₂(B) phase.

From the full width at half maximum (FWHM) of the diffraction peaks marked A and B shown in Fig.1, we can calculate the grain size and lattice strain of VO₂(B) and VO₂(M) phases along the orientation of [001] and [200] in the annealed samples, respectively, and the calculated result is shown in Fig.5. One can see that the grain size of the VO₂(B) nanorods decreases from 59.3 nm to 20.3 nm, and finally to zero, while that of the VO₂(M) nanorods increases from zero to 27.9 nm and finally to 38.1 nm, as shown in Fig.5(a), demonstrating a gradual growth of the VO₂(M) nanorods. The lattice strain of the VO₂(B) nanorods continuously increases, while that of the VO₂(M) nanorods slightly decreases, as shown in Fig.5(b), indicating that the VO₂(R) grains

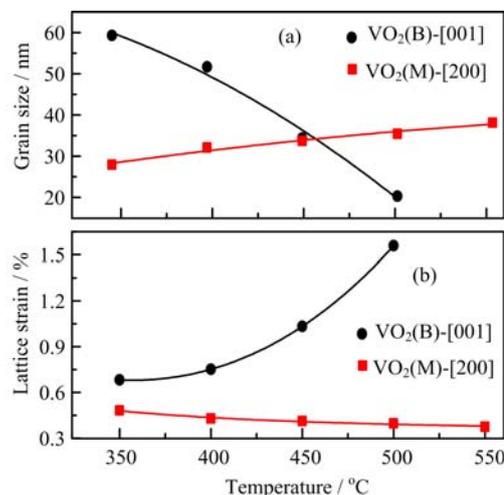


FIG. 5 (a) Grain size and (b) lattice strain of VO₂(B) and VO₂(M) phases for the samples annealed at different temperatures.

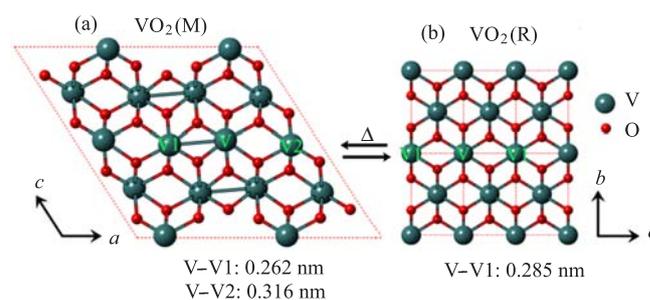


FIG. 6 Crystalline structures of (a) VO₂(M) and (b) VO₂(R). The atomic positions, cell parameters and V–V bonds are taken from Ref.[2] in drawing the crystal structures.

(or VO₂(M) below MIT temperatures) are in a strain environment of VO₂(B) nanorods. The increased lattice strain in the VO₂(B) nanorods might be due to the rearrangement and reshuffling of the octahedra in VO₂(B) phase [2].

The transition from low temperature VO₂(M) metal phase to high temperature VO₂(R) semiconductor phase is fully reversible, and above MIT temperature the VO₂(R) has a tetragonal structure and corresponds to a relatively isotropic arrangement of adjacent [VO₆] octahedra sharing edges to form a hexagonal close-packed lattice with considerable V–V overlap, *i.e.* the V–V1 bonds in Fig.6(b). And below the transition temperature, a small distortion of the [VO₆] octahedra yields alternate long and short V–V bonds to form pairs of V atoms in VO₂(M), *i.e.* the V–V1 and V–V2 bonds in Fig.6(a), leading to the semiconducting behavior [32].

The grain size is widely believed to determine the MIT temperature and hysteresis width of VO₂(M) [4, 24–26]. The ascription of the MIT at low temperature

to pure size effect can be excluded, because it has been proven that the MIT temperature decreased with decreasing size in the VO₂(M) nanoparticles [27], and the MIT temperature increased while the hysteresis width decreased when the size of VO₂(M) nanocrystals decreased from 30 nm to 10 nm [28]. It was found that the oxygen vacancy can tune the MIT temperature in a wide range [29], and the MIT of VO₂(M) beam can be achieved at room temperature by active control of strain [30]. Our recent results also indicated that the MIT temperature of VO₂(M) nanoparticles can be regulated by size- and defect-effect [31], and the defect-effect is the main contribution to the regulation of the MIT temperature at low temperature. These results clearly indicate that the MIT temperature and the hysteresis width strongly depend on the internal structure and lattice distortion of VO₂(M), and the MIT at low temperature mainly comes from the strain effect besides the size effect.

IV. CONCLUSION

In this work, VO₂(B) nanorods gradually transform to VO₂(M) nanorods with increasing annealing temperature, and pure VO₂(M) nanorods can be obtained upon annealing treatment at 550 °C. There are two pairs of the endothermic and exothermic peaks (two MITs) in the mixed phase of VO₂(B) and VO₂(M), one is at low temperature between -3 and 19 °C, and the other is at high temperature of 65–74 °C. The low temperature MIT is closely associated with the VO₂(M) grains in the strain environment of VO₂(B) nanorods instead of pure size effect, and that at high temperature side origins from bulk VO₂(M) nanorods.

V. ACKNOWLEDGMENTS

This work was financially supported by the National Natural Science Foundation of China (No.51372250).

[1] F. J. Morin, *Phys. Rev. Lett.* **3**, 34 (1959).

[2] C. Leroux, G. Nihoul, and G. Van Tendeloo, *Phys. Rev. B* **57**, 5111 (1998).

[3] M. M. Qazilbash, M. Brehm, B. G. Chae, P. C. Ho, G. O. Andreev, B. J. Kim, S. J. Yun, A. V. Balatsky, M. B. Maple, and F. Keilmann, *Science* **318**, 1750 (2007).

[4] L. Whittaker, C. Jaye, Z. Fu, D. A. Fischer, and S. Banerjee, *J. Am. Chem. Soc.* **131**, 8884 (2009).

[5] G. L. Petrov, V. V. Yakovlev, and J. Squier, *Appl. Phys. Lett.* **81**, 1023 (2002).

[6] C. Z. Wu, F. Feng, and Y. Xie, *Chem. Soc. Rev.* **42**, 5157 (2013).

[7] L. Whittaker, H. Zhang, and S. Banerjee, *J. Mater. Chem.* **19**, 2968 (2009).

[8] J. Zou, Y. G. Peng, and H. Lin, *J. Mater. Chem. A* **1**, 4250 (2013).

[9] G. P. Nagabhushana and G. T. Chandrappa, *J. Mater. Chem. A* **1**, 11539 (2013).

[10] Z. F. Peng, W. Jiang, and H. Liu, *J. Phys. Chem. C* **111**, 1119 (2007).

[11] F. Y. Kong, M. Li, S. S. Pan, Y. X. Zhang, and G. H. Li, *Mater. Res. Bull.* **46**, 2100 (2011).

[12] C. M. Zheng, X. M. Zhang, J. H. Zhang, and K. R. Liao, *J. Solid State Chem.* **156**, 274 (2001).

[13] J. H. Son, J. Wei, D. Cobden, G. Z. Cao, and Y. N. Xia, *Chem. Mater.* **22**, 3043 (2010).

[14] C. Wu, X. Zhang, J. Dai, J. Yang, Z. Wu, S. Wei, and Y. Xie, *J. Mater. Chem.* **21**, 4509 (2011).

[15] W. Chen, J. F. Peng, L. Q. Mai, H. Yu, and Y. Y. Qi, *Solid State Commun.* **132**, 513 (2004).

[16] D. Alie, L. Gedvilas, Z. W. Wang, R. Tenent, C. Engtrakul, Y. F. Yan, S. E. Shaheen, A. C. Dillon, and C. M. Ban, *J. Solid State Chem.* **212**, 237 (2014).

[17] S. D. Ji, F. Zhang, and P. Jin, *J. Solid State Chem.* **184**, 2285 (2011).

[18] R. Lopez, T. E. Haynes, L. A. Boatner, L. C. Feldman, and R. F. Jr. Haglund, *Phys. Rev. B* **65**, 224113 (2002).

[19] E. U. Donev, R. Lopez, L. C. Feldman, and R. F. Jr. Haglund, *Nano Lett.* **9**, 702 (2009).

[20] J. I. Sohn, H. J. Joo, A. E. Porter, C. J. Choi, K. Kim, D. J. Kang, and M. E. Welland, *Nano Lett.* **7**, 1570 (2007).

[21] A. Frenzel, M. M. Qazilbash, M. Brehm, B. G. Chae, B. J. Kim, H. T. Kim, A. V. Balatsky, F. Keilmann, and D. N. Basov, *Phys. Rev. B* **80**, 115115 (2009).

[22] Z. Yang, C. Ko, and S. Ramanathan, *J. Appl. Phys.* **108**, 073708 (2010).

[23] L. Whittaker, C. J. Patridge, and S. Banerjee, *J. Phys. Chem. Lett.* **2**, 745 (2011).

[24] K. Appavoo and R. F. Jr. Haglund, *Nano Lett.* **11**, 1025 (2011).

[25] J. M. Baik, M. H. Kim, C. Larson, A. M. Wodtke, and M. Moskovits, *J. Phys. Chem. C* **112**, 13328 (2008).

[26] R. Lopez, L. A. Boatner, T. E. Haynes, R. F. Haglund, and L. C. Feldman, *Appl. Phys. Lett.* **79**, 3161 (2001).

[27] R. Lopez, L. C. Feldman, and R. F. Jr. Haglund, *Phys. Rev. Lett.* **93**, 177403 (2004).

[28] Y. F. Sun, S. S. Jiang, W. T. Bi, R. Long, X. G. Tan, C. Z. Wu, S. Q. Wei, and Y. Xie, *Nanoscale* **3**, 4394 (2011).

[29] J. Jeong, N. Aetukuri, T. Graf, T. D. Schladt, M. G. Samant, and S. S. P. Parkin, *Science* **339**, 1402 (2013).

[30] J. Cao, E. Ertekin, V. Srinivasan, W. Fan, S. Huang, H. Zheng, J. W. L. Yim, D. R. Khanal, D. F. Ogletree, J. C. Grossman, and J. Wu, *Nature Nanotech.* **4**, 732 (2009).

[31] M. Li, X. Wu, L. Li, Y. X. Wang, D. B. Li, J. Pan, S. J. Li, L. T. Sun, and G. H. Li, *J. Mater. Chem. A* **2**, 4520 (2014).

[32] J. B. Goodenough, *J. Solid State Chem.* **3**, 490 (1971).